



General Assembly

February Session, 2000

**Bill No. 119**

LCO No. 484

Referred to Committee on Appropriations

Introduced by:

SEN. EADS, 30<sup>th</sup> Dist.

REP. WARD, 86<sup>th</sup> Dist.

***An Act Concerning The Treatment Of Unexpended Balances At  
The End Of The Fiscal Year.***

Be it enacted by the Senate and House of Representatives in General  
Assembly convened:

1       Section 1. Section 4-89 of the general statutes, as amended by section  
2       6 of public act 99-1 of the June special session, is repealed and the  
3       following is substituted in lieu thereof:

4       (a) No officer, department, board, commission, institution or other  
5       agency of the state shall, after the close of any fiscal year, incur, or vote  
6       or order or approve the incurring of, any obligation or expenditure  
7       under any appropriation made by the General Assembly for any fiscal  
8       year that had expired at the time the obligation for such expenditure  
9       was incurred. The Comptroller is authorized to draw warrants or  
10      process interdepartmental transactions against the available  
11      appropriations made for the current fiscal year for the payment of  
12      expenditures incurred during the prior fiscal year for which  
13      appropriations were made or in fulfillment of contracts properly made  
14      during such prior year, and the Treasurer is authorized to pay such  
15      warrants or record such interdepartmental transactions. The balances



16 of certain appropriations which otherwise would lapse at the close of  
17 any fiscal year and for which no appropriation is made in the  
18 following year shall be extended into the succeeding fiscal year for the  
19 period of one month to permit liquidation of obligations of the prior  
20 fiscal year.

21 (b) Except as provided in this section, all unexpended balances of  
22 appropriations made by the General Assembly in the state budget act  
23 shall lapse at the end of the period for which they have been made and  
24 shall revert to the unappropriated surplus of the fund from which such  
25 appropriation or appropriations were made, except that any  
26 appropriation for the improvement of or maintenance work by  
27 contract on public roads, for the purchase of land or the erection of  
28 buildings or new construction or for specific projects for capital  
29 improvements and repairs, provided in the case of such specific  
30 projects allotments shall have been made by the Governor for design  
31 and construction, shall continue to be available until the attainment of  
32 the object or the completion of the work for which such appropriation  
33 was made, but in no case for more than six years unless renewed by  
34 act of the General Assembly.

35 (c) All unexpended balances of special appropriations made by the  
36 General Assembly for special programs, projects or studies shall lapse  
37 at the end of the period for which they have been made, except that if  
38 satisfied that the work of any such program, project or study is not  
39 completed and will continue during the following fiscal year, the  
40 Secretary of the Office of Policy and Management shall order any  
41 unexpended balance remaining in the special appropriation to be  
42 continued to the ensuing fiscal year.

43 (d) Any appropriation made by the General Assembly for no  
44 specific period, or any unexpended balance thereof, shall lapse on June  
45 thirtieth in the fourth year after such appropriation was made,  
46 provided when the purpose for which any such appropriation was  
47 made has been accomplished or there is no further need for funds



48 thereunder, the unexpended balance thereof, upon the written consent  
49 of the head of the department, board, commission, institution or other  
50 agency to which such appropriation was made, shall lapse and shall  
51 revert to the unappropriated surplus of the fund from which such  
52 appropriation was made.

53 (e) The provisions of this section shall not apply to appropriations  
54 for Department of Transportation equipment, the highway and  
55 planning research program administered by the Department of  
56 Transportation, Department of Environmental Protection equipment  
57 or the purchase of public transportation equipment, the minor capital  
58 improvement account in the Department of Public Works, the  
59 litigation costs account in the Office of the Attorney General, library or  
60 educational equipment for the constituent units of the state system of  
61 higher education, or library or educational materials for the State  
62 Library. Such appropriations shall not lapse until the end of the fiscal  
63 year succeeding the fiscal year of the appropriation, provided an  
64 obligation to spend such funds has been incurred in the next preceding  
65 fiscal year, except that for the purposes of library or educational  
66 equipment or materials, such funds shall not exceed twenty-five per  
67 cent of the amount of the appropriation for such purposes.

68 (f) The provisions of this section shall not apply to appropriations to  
69 the Department of Higher Education for student financial assistance in  
70 an amount not greater than five per cent of the annual state student  
71 financial assistance appropriation, for the high technology graduate  
72 scholarship program established under section 10a-170a, for  
73 Connecticut higher education centers of excellence established under  
74 section 10a-25h, for the minority advancement program established  
75 under subsection (b) of section 10a-11, for the high technology doctoral  
76 fellowship program established under section 10a-25n, or to the  
77 operating funds of the constituent units of the state system of higher  
78 education established pursuant to sections 10a-105, 10a-99 and 10a-77.  
79 Such appropriations shall not lapse until the end of the fiscal year  
80 succeeding the fiscal year of the appropriation except that centers of



81 excellence appropriations deposited by the board of governors in the  
82 Endowed Chair Investment Fund, established under section 10a-20a,  
83 shall not lapse but shall be held permanently in the Endowed Chair  
84 Investment Fund and any moneys remaining in higher education  
85 operating funds of the constituent units of the state system of higher  
86 education shall not lapse but shall be held permanently in such funds.  
87 On or before September first, annually, the Board of Governors of  
88 Higher Education shall submit a report to the joint standing committee  
89 of the General Assembly having cognizance of matters relating to  
90 appropriations and the budgets of state agencies, through the Office of  
91 Fiscal Analysis, concerning the amount of each such appropriation  
92 carried over from the preceding fiscal year.

93 (g) The provisions of this section shall not apply to appropriations  
94 to the Commission on the Deaf and Hearing Impaired in an amount  
95 not greater than the amount of reimbursements of prior year  
96 expenditures for the services of interpreters received by the  
97 commission during the fiscal year pursuant to section 46a-33b and  
98 such appropriations shall not lapse until the end of the fiscal year  
99 succeeding the fiscal year of the appropriation.

100 (h) The provisions of this section shall not apply to appropriations  
101 from the municipal solid waste recycling trust account established  
102 under subsection (d) of section 22a-241. Such appropriations shall not  
103 lapse.

104 Sec. 2. This act shall take effect July 1, 2000.

***Statement of Purpose:***

To implement the Governor's budget recommendations.

*[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]*